

Advent Genesis

Streamline portfolio construction and rebalancing

Allocating new capital, raising cash and regular rebalancing of portfolios are essential parts of portfolio management, but too often they consume too much of the portfolio manager's time that could be better spent on more strategic activity. Now, SS&C Advent has introduced a solution that dramatically streamlines key portfolio management processes and integrates them effectively with order management and execution.

Genesis is a highly scalable, cloud-based portfolio construction and rebalancing solution designed to work in concert with SS&C Advent's Moxy® OMS. It enables portfolio managers to manage models, rebalance accounts, manage portfolio

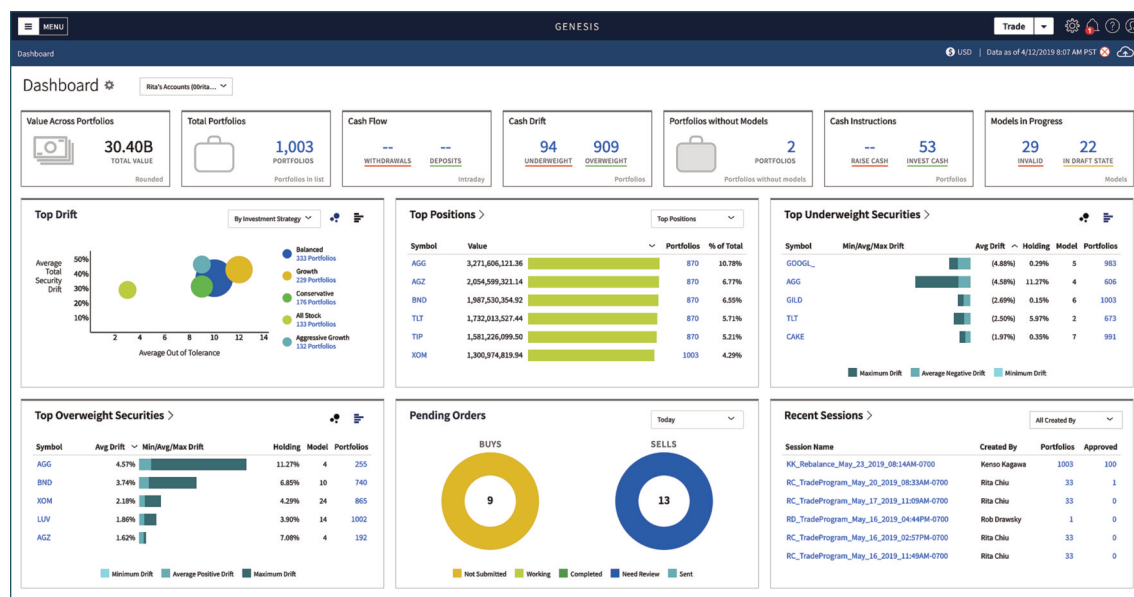
"drift" and adjust strategies more quickly and efficiently. The combination of Genesis and Moxy gives firms a seamless path from trading decisions through trade creation to execution.

Designed with the Portfolio Manager in mind

Genesis enables a firm's most valuable talent—the portfolio manager—to achieve greater productivity and accomplish more in the work day. It is a purpose-built solution specifically designed to support portfolio managers in making timely, informed trading decisions, creating trades efficiently and transmitting them to Moxy for execution. Genesis

frees managers from the "mechanics" of portfolio management so they can focus on strategies and results. And as a cloud-based platform, it's available anytime and anywhere with an internet connection.

Whether your firm manages institutional assets, individual wealth or some combination of the two, Genesis helps close workflow gaps between the order creation process and trading, eliminating the need for offline workarounds, driving greater efficiency and reducing operational risk. It also offers the flexibility and scalability to accommodate account growth, as well as expansion into new markets, new regions and new lines of business.



The Genesis dashboard is the landing screen where portfolio data is aggregated and summarized in tiles that highlight where attention is needed most, focusing on drift, cash, and activity.

Save time with exception management

Genesis relieves you of the tedious, labor-intensive process of running and analyzing reports from multiple systems to determine your daily cash and rebalancing requirements. A dashboard surfaces critical, actionable information showing your cash obligations, which accounts need to be rebalanced and which portfolios are drifting off strategy. So you can start the day managing by exception instead of having to review each account manually.

Increase efficiency and accuracy in rebalancing

Genesis streamlines the rebalancing of accounts against model portfolios, from straightforward to very complex models and sleeve structures. Integrated with Moxy's built-in trade restriction settings, as

well as with Advent Rules Manager®, it helps ensure that proposed rebalancing adjustments are in line with portfolio strategies and client mandates. Session sharing and summary capabilities enable portfolio managers to delegate the rebalancing process to assistants and simply review and approve their work, or edit trades manually if necessary. Genesis is capable of rebalancing 1,000 accounts per minute.

Broad asset and regional coverage

Genesis enables you to rebalance portfolios investing in a broad mix of asset classes on a multi-currency platform, including but not limited to:

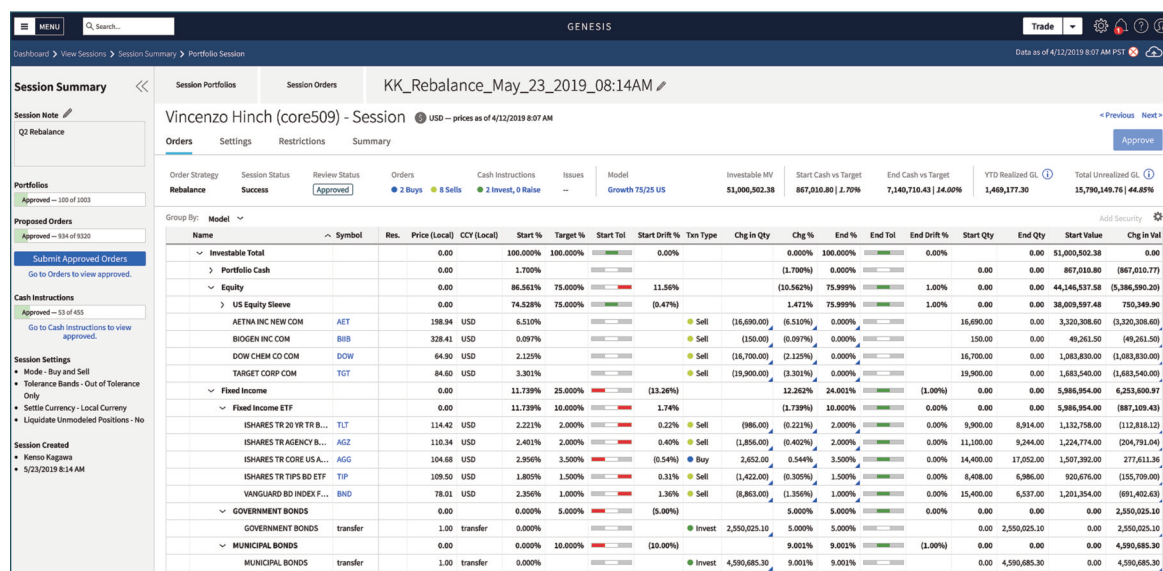
- Equities
- ETFs
- Mutual Funds
- Fixed Income

The vision: A comprehensive portfolio management toolset

Genesis, as the name implies, is just the beginning. The near-term vision for Genesis is to integrate rebalancing with institutional-caliber portfolio construction capabilities in a single cloud-based platform. The platform has a clear strategy and roadmap that will ensure continuous evolution in both portfolio construction and rebalancing, including support for an expanding array of asset classes. The ultimate goal is a comprehensive tool to meet the portfolio manager's need for an efficient means to turn investment strategies and decisions into action.

For more information

Talk to your SS&C Advent Relationship Manager about adding Genesis to your portfolio management and trading platform, or contact sales@advent.com.



| Name | Symbol | Res. | Price (Local) | CCY (Local) | Start % | Target % | Start Tol | Start Drift % | Tn Type | Chg In Qty | Chg % | End % | End Tol | End Drift % | Start Qty | End Qty | Start Value | Chg In Val |
|--------------------------|----------|------|---------------|-------------|----------|----------|-----------|---------------|---------|--------------|-----------|----------|---------|-------------|-----------|--------------|---------------|----------------|
| Investable Total | | | 0.00 | | 100.000% | 100.000% | | 0.00% | | | 0.000% | 100.000% | | 0.00% | 0.00 | 0.00 | 51,000,502.38 | 0.00 |
| Portfolio Cash | | | 0.00 | | 1.700% | | | | | | (1.700%) | 0.000% | | | 0.00 | 0.00 | 867,018.80 | (867,018.77) |
| Equity | | | 0.00 | | 86.561% | 75.000% | | 11.56% | | | (10.562%) | 75.999% | | 1.00% | 0.00 | 0.00 | 44,146,537.58 | (5,346,590.30) |
| US Equity Sleeve | | | 0.00 | | 74.528% | 75.000% | | (0.47%) | | | 1.471% | 75.999% | | 1.00% | 0.00 | 0.00 | 38,009,597.48 | 750,349.90 |
| AETNA INC NEW COM | AET | | 198.94 | USD | 6.510% | | | | Sell | (16,690.00) | (8.533%) | 0.000% | | | 16,690.00 | 0.00 | 3,320,308.60 | (3,320,308.60) |
| BIOGEN INC COM | BIB | | 328.41 | USD | 0.097% | | | | Sell | (150.00) | (0.097%) | 0.000% | | | 150.00 | 0.00 | 49,261.50 | (49,261.50) |
| DOW CHEM CO COM | DOW | | 64.90 | USD | 2.125% | | | | Sell | (16,700.00) | (2.125%) | 0.000% | | | 16,700.00 | 0.00 | 1,083,830.00 | (1,083,830.00) |
| TARGET CORP COM | TGT | | 84.60 | USD | 3.301% | | | | Sell | (19,900.00) | (3.301%) | 0.000% | | | 19,900.00 | 0.00 | 1,683,540.00 | (1,683,540.00) |
| Fixed Income | | | 0.00 | | 11.739% | 25.000% | | (13.26%) | | | 13.262% | 24.001% | | (1.00%) | 0.00 | 0.00 | 5,986,954.00 | 6,253,600.97 |
| Fixed Income ETF | | | 0.00 | | 11.739% | 10.000% | | 1.74% | | | (1.739%) | 10.000% | | 0.00% | 0.00 | 0.00 | 5,986,954.00 | (887,109.43) |
| ISHARES TR 20 YR TR B... | TLT | | 114.42 | USD | 2.221% | 2.000% | | 0.22% | Sell | (986.00) | (0.221%) | 2.000% | | 0.00% | 9,900.00 | 8,914.00 | 1,132,758.00 | (112,818.12) |
| ISHARES TR AGENCY B... | AGZ | | 110.34 | USD | 2.401% | 2.000% | | 0.40% | Sell | (1,856.00) | (0.402%) | 2.000% | | 0.00% | 11,100.00 | 9,244.00 | 1,224,774.00 | (204,791.04) |
| ISHARES TR CORE US A... | AGG | | 104.68 | USD | 2.950% | 3.500% | | (0.54%) | Buy | 2,652.00 | 0.544% | 3.500% | | 0.00% | 14,400.00 | 17,052.00 | 1,507,392.00 | 277,611.36 |
| ISHARES TR TIPS RD ETF | TIP | | 109.50 | USD | 1.800% | 1.500% | | 0.31% | Sell | (1,422.00) | (0.305%) | 1.500% | | 0.00% | 8,408.00 | 6,986.00 | 920,676.00 | (1,155,709.00) |
| VANGUARD BD INDEX F... | BND | | 78.01 | USD | 2.350% | 1.000% | | 1.36% | Sell | (8,863.00) | (1.356%) | 1.000% | | 0.00% | 15,400.00 | 6,537.00 | 1,201,354.00 | (691,402.63) |
| GOVERNMENT BONDS | | | 0.00 | | 0.000% | 5.000% | | (5.00%) | | | 5.000% | 5.000% | | 0.00% | 0.00 | 0.00 | 2,550,025.10 | 0.00 |
| GOVERNMENT BONDS | transfer | | 1.00 | transfer | 0.000% | | | | Invest | 2,550,025.10 | 5.000% | 5.000% | | (1.00%) | 0.00 | 2,550,025.10 | 0.00 | 2,550,025.10 |
| MUNICIPAL BONDS | | | 0.00 | | 0.000% | 10.000% | | (10.00%) | | | 9.001% | 9.001% | | (1.00%) | 0.00 | 0.00 | 4,590,685.30 | 0.00 |
| MUNICIPAL BONDS | transfer | | 1.00 | transfer | 0.000% | | | | Invest | 4,590,685.30 | 9.001% | 9.001% | | | 0.00 | 4,590,685.30 | 0.00 | 4,590,685.30 |

Detailed rebalance results for a single portfolio, showing proposed trades relative to the portfolio's model. It dynamically updates when trade edits are made.