SS&C | Black Diamond WEALTH PLATFORM

A Fully-Integrated Tech-Stack + A Proactive Service Model =

A Successfully Implemented Independent RIA

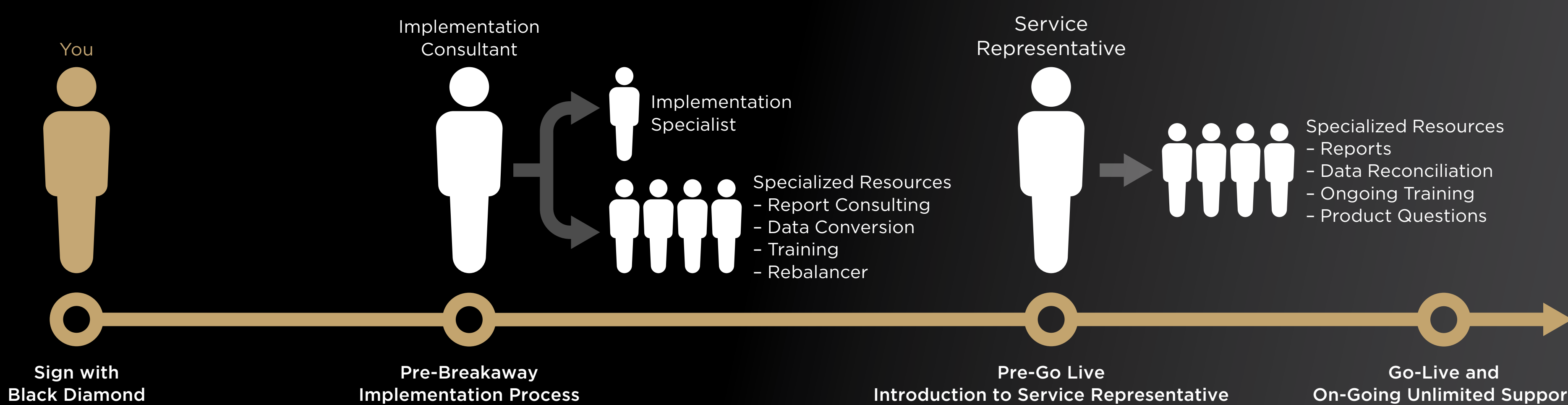
Advisors looking to break away from an existing firm to launch their own business know one misstep can shatter the best-laid plans. Each part of the process has to be handled with the greatest sensitivity—from securing business partners and clients, to evaluating office space, and implementing the right technology solution.

With our confidential and specialized implementation model for Breakaway Advisors, your new firm can be implemented and ready to service clients from day one.

The Black Diamond® Wealth Platform offers a fully-integrated cloud-native solution complete with customizable performance reporting, portfolio rebalancing, an immersive client portal, and seamless connections to a vast ecosystem of smart integrations. Proactive, personalized attention from a dedicated service team ensures you are ready to provide your clients with an exceptional level of service as you fully establish your independent RIA.

Providing pre-breakaway confidence with specialized and dedicated support.

With Black Diamond's industry unique service model, you are able to go through the implementation and set up of your firm while still safely finalizing your plans to break away. As part of our specialized service model your assigned Implementation Consultant will be your primary point of contact throughout the onboarding process. These financial services experienced professionals will know how and when to best reach you. As you prepare to "open your firm's doors," your Implementation Consultant will introduce you to your dedicated Service Representative. As your single point of contact they will function as an extension of your team. Your Service Representative, and their supporting team, get to know your business so they can effectively work as your technology partner. This allows you to focus more time on your clients.



A comprehensive solution, designed with independent RIAs in mind.

In addition to the more than 400 person service team, Black Diamond offers an award winning, cloud-native platform that is constantly enhanced, confidently compliant, and available from anywhere, 24/7. Over the past few years we have significantly increased our investment in R&D to ensure that we are providing firms like yours the tools and types of solutions that matter the most.

1,900+
firms using Black Diamond

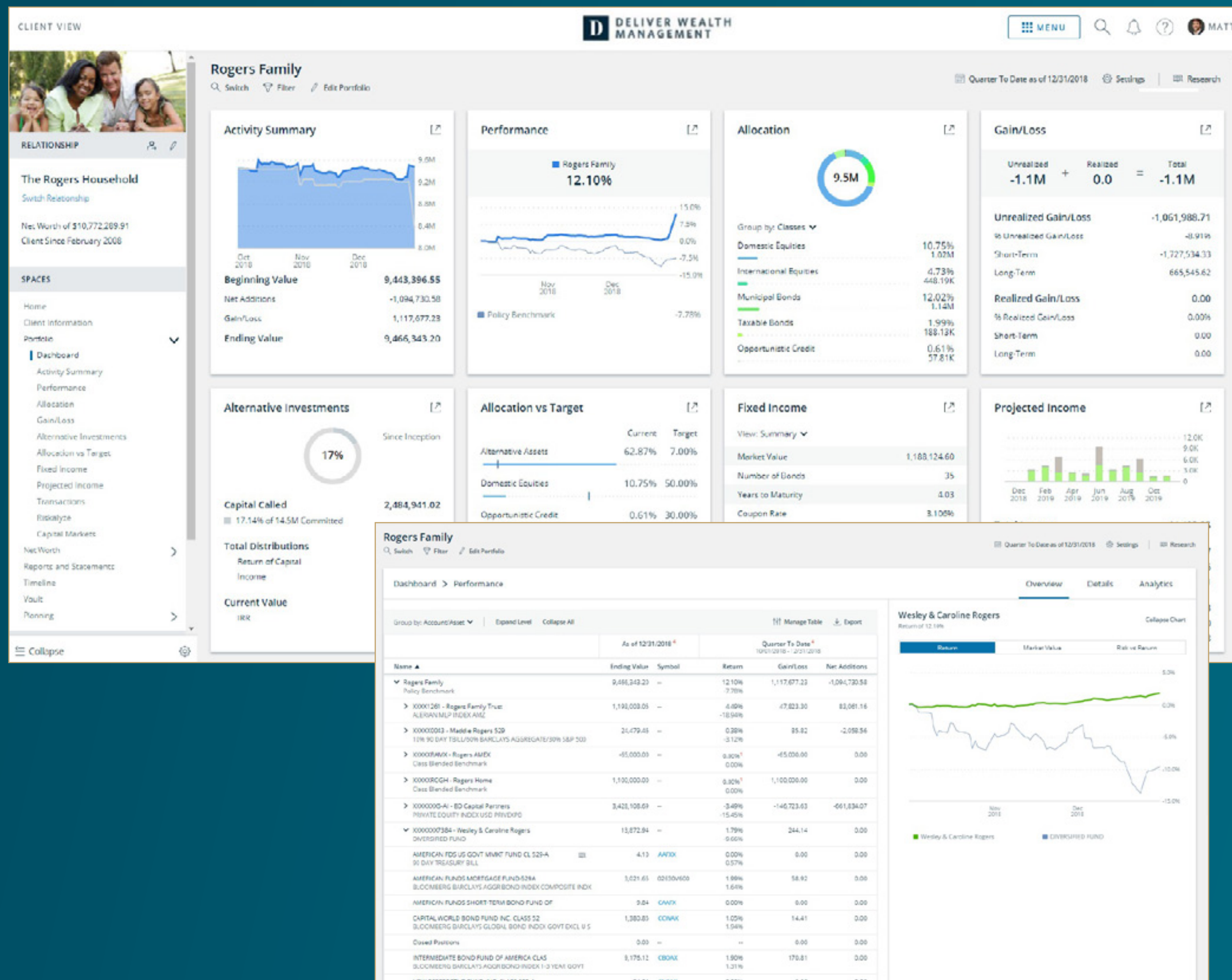
$2T+
in assets under management

800+
data sources

300+
newly independent RIAs implemented

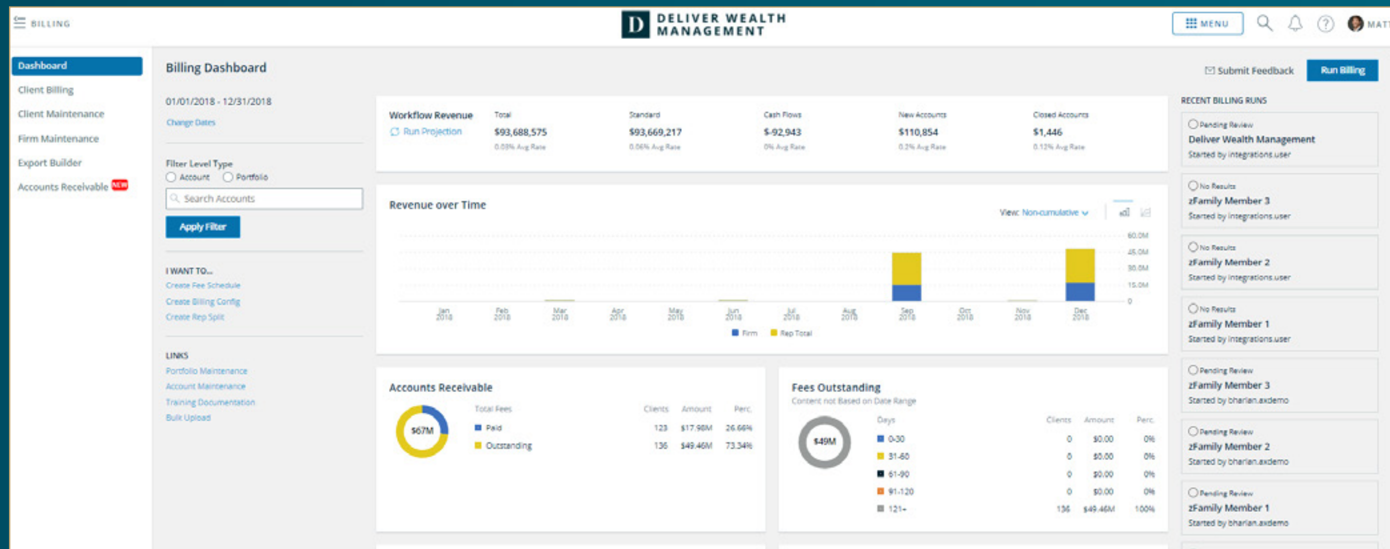
Portfolio Management & Reporting

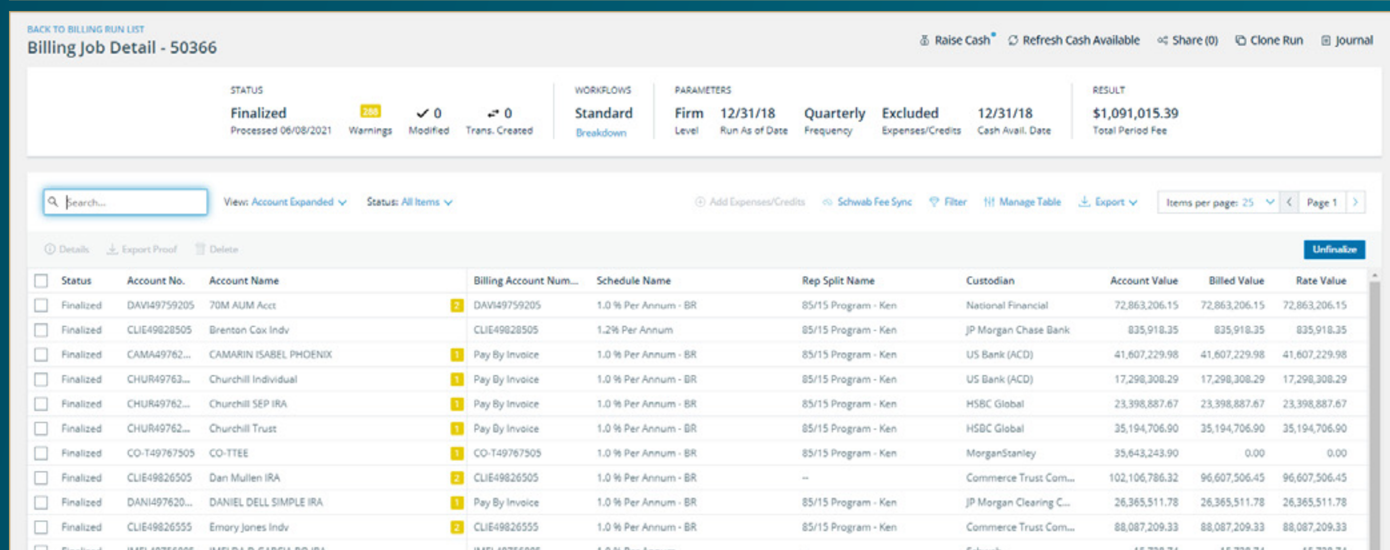
Oversee portfolios in the context of the entirety of the client relationship through a centralized command center. Insights are viewable at-a-glance through dynamic and configurable portfolio metric cards. You can also choose between the use of drag-and-drop functionality and pre-built templates to easily generate beautifully crafted reports to be shared in your client's portal.



Billing & Revenue Management

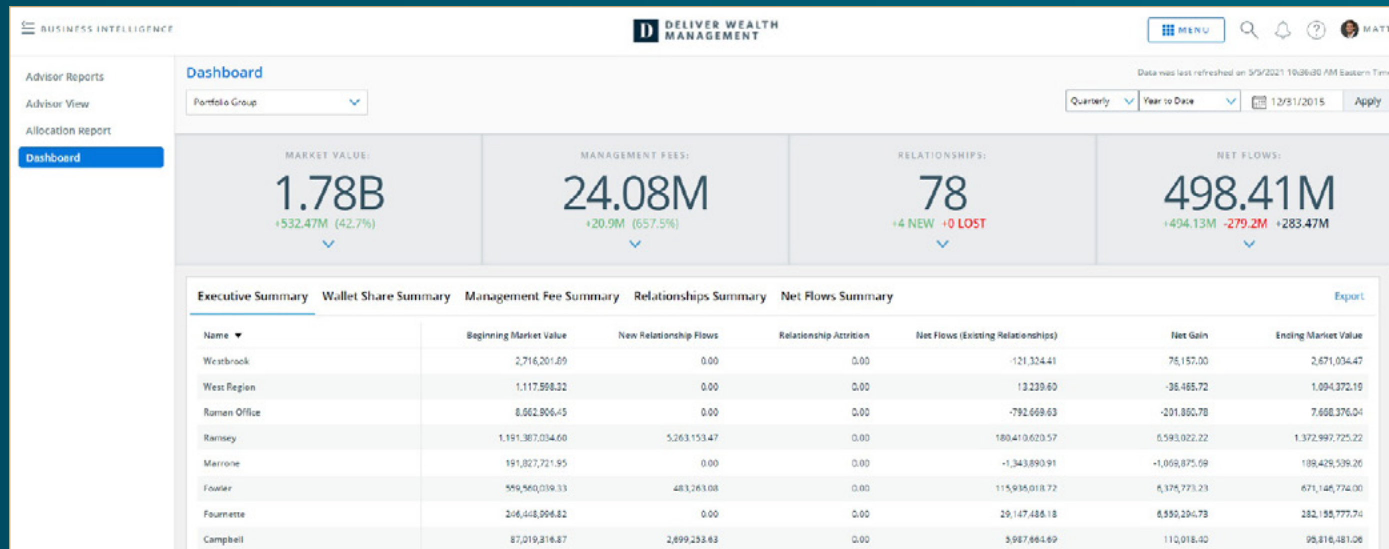
Your billing is ready to go from day-one. Itemize your value by structuring fees in a way that makes sense to both you and your clients. The countless configuration settings and built-in workflows allow a streamlined process, eliminating the need to run billing more than once per period.

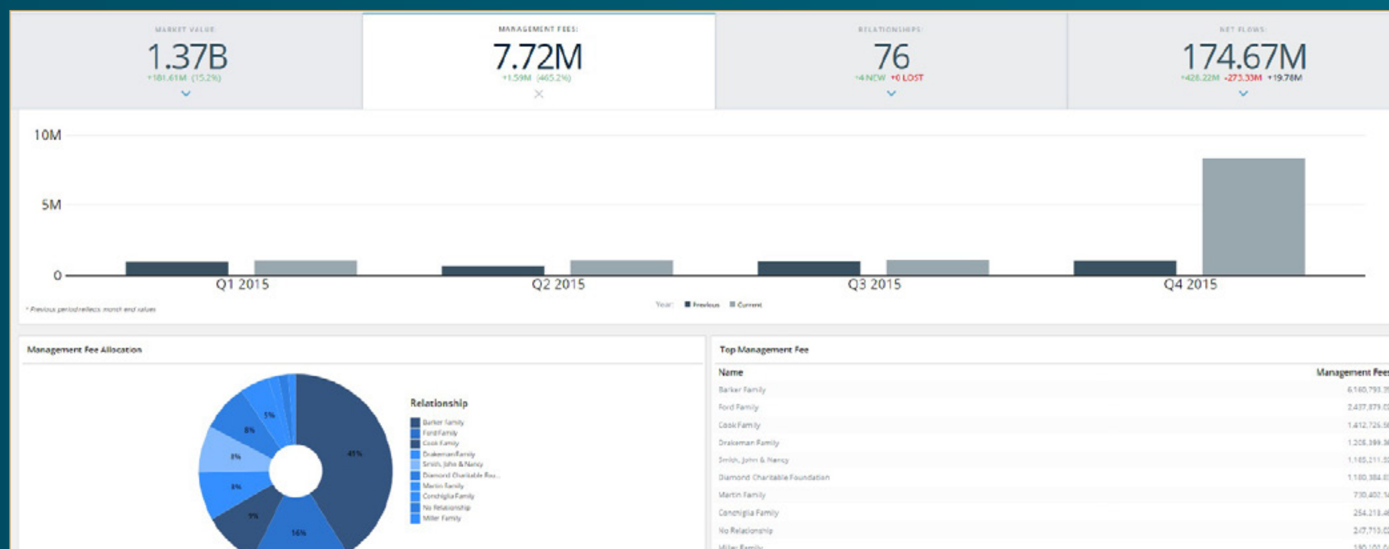




Business Intelligence & Compliance

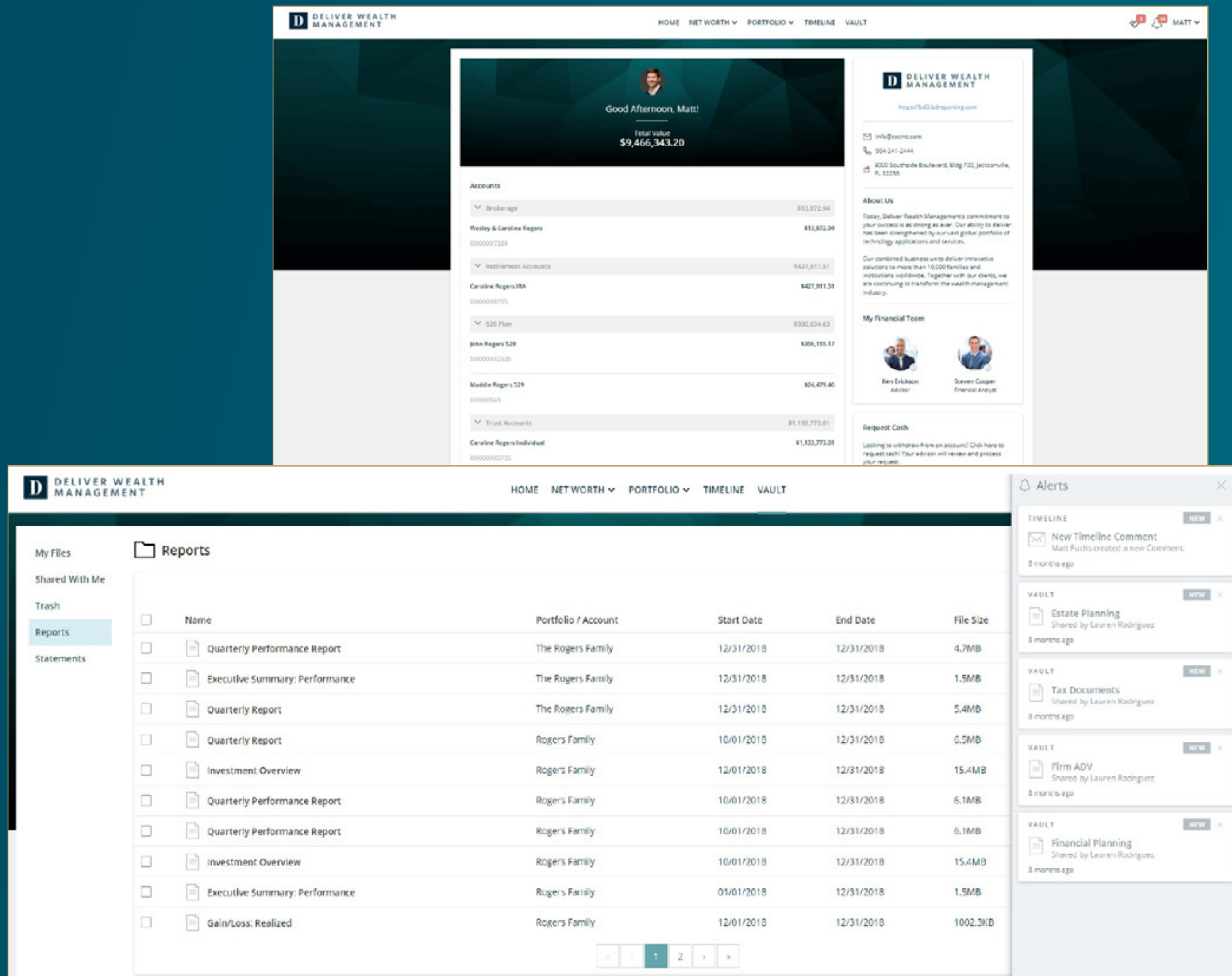
Take the pulse of your new business, identify trends and outliers, and examine the finer details of advisor performance and your client relationships. Granular permission controls, compliance-ready formats, report automation, and more make oversight manageable while providing a 360-degree view into your practice.





Client Experience & Communications

Through a custom-branded, interactive client portal, you can document advisor-client communications, showcase dynamic portfolio metrics, post to a secure document vault, incorporate outside account aggregation, balance sheet reporting, and more. As a breakaway advisor, your client portal will have all of the applicable portfolio reporting and documents readily accessible by your clients at go-live.



Launch into independence with an end-to-end, best-practice technology stack enhanced by our partners.

Productivity Enhancing Integrations

The complete, robust network features over 55 solutions from across the industry in financial planning, portfolio analytics, CRM, managed accounts, and more. Single-sign-on, embedded content, automated data syncs, connected workflows, and more enrich your overall experience.

Reliable, Clean Data

Each day the platform is automatically powered with up-to-date account-level position and transaction data. The extensive data comes from hundreds of sources such as direct custodian feeds, the Advent Custodial® Data (ACD) network of 800+ account providers, and third-party account aggregators. Plus, custodial-specific integration points include benefits such as cost basis synchronization, straight-through trade processing, statement imports, and digital account opening.

No matter which custodian(s) you choose to work with, you can begin your day focused on your client relationships, not managing data. A complete list of all of our current ACD interfaces can be found here.

A selection of ACD interfaces include:

From the front office to the back office, the Black Diamond Wealth Platform provides Breakaway Advisors with the tools to operate efficiently and deliver a sophisticated, modern client experience

Within Black Diamond, advisors leverage cutting-edge solutions that drive success, such as:

- Comprehensive portfolio management
- Robust *Billing*
- Customizable *Reporting*
- Immersive *Client Experience* portal
- Next-generation *Rebalancer*
- Intuitive dashboards
- Compliance oversight
- And much more

A FULLY-INTEGRATED TECH-STACK + A PROACTIVE SERVICE MODEL = A SUCCESSFULLY IMPLEMENTED INDEPENDENT RIA

At the Heart of Your Business: One platform to support your unique needs.

For more information:

No matter your size or structure, Black Diamond has the flexibility, infrastructure, and power to support your unique wealth management business. To learn how the Black Diamond Wealth Platform can support your new business, request your personalized demo, call 1-800-727-0605, or email info@advent.com.