

Advent Managed Services and Geneva®

An Unmatched Combination of Industry-Leading Technology and Operational Expertise

As the global asset and fund management markets grow, so do their operational challenges. Increasing transaction volume, product proliferation, and complex investment strategies put a strain on in-house resources. Firms can get bogged down in the details of mundane but essential activities, like ensuring data accuracy, daily reconciliation, closing periods, internal reporting, and accurate investor accounting.

Here's the good news: our award-winning Geneva® platform and its ecosystem of complementary solutions can now be hosted in the cloud. This is part of our comprehensive yet flexible managed services offering that features a customizable menu of expert-delivered functions performed by SS&C Advent on your behalf. These services are specifically designed to significantly ease the burden on your middle and back offices.

It's Your System

One of the primary differentiators of the SS&C Advent Managed Services offering is that instead of relying on a third party's shared platform and waiting for updates on your critical operational processes and reports, you have your own dedicated instance of each product that comprises the Geneva solution suite. We maintain and service the system(s), but you have full hands-on access to all the functionality, reporting capabilities, and underlying data within Geneva. From a user perspective, it's no different from having Geneva installed in-house -but without the need for added IT

infrastructure or internal operational overhead.

Managed Services enables you to:

- Reduce your IT infrastructure
- Minimize operational risks
- Improve data accuracy and consistency
- Strengthen compliance readiness
- Leverage specialized operational expertise
- Focus on your core competencies

A Menu of Managed Service Options

Another primary differentiator of our managed services offering, you are not required to complete a lift out of your middle and/or back office. The SS&C Advent model allows you to customize your service and tailor it to meet your needs. You have the flexibility to pick and choose which function(s) you would prefer to assign to the SS&C Advent managed services team to help future-proof your business. These can include, but are not limited to:

Reconciliation

- Daily reconciliation to custodians, prime brokers, counterparties and fund administrators
- Month end position and profit and loss reconciliation to fund administrators and custodians
- Month end trial balance reconciliation

“Fund managers want to focus on generating alpha and their investment returns, and not focus on becoming a technology company.”

— Gary Berger, Partner at CohnReznick

Security Master

Manage all data feeds and inputs for security master and referenced data configuration, setup and maintenance in your dedicated Geneva instance for all asset classes, including:

- Equities
- Swaps
- Futures and options
- Private investments
- Term and revolver loans
- Fixed income
- Structured products

Trade Processing

- Manage trade feeds from your order management and front office systems
- Provide end of day or start of day position files back to the order management system (OMS)
- Manually enter trades that don't flow from your OMS, such as private investments.
- Manage referential data setup when needed, including strategies, custodian accounts and brokers

Pricing

- Manage all price feeds
- Report missing or stale prices
- Alert you to large price variances and provide price comparisons

Asset Servicing

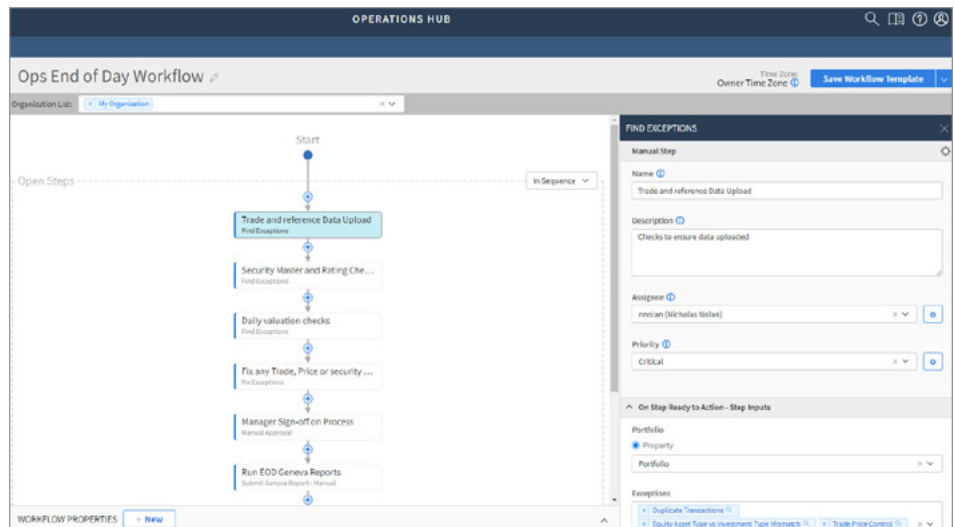
The managed services team will manage data feeds or manual inputs and provides analysis and exceptions on:

- Corporate actions, including dividends, splits, mergers and spinoffs
- Option exercises
- Calls, puts, sinks, and paydowns

Investor Servicing

Leveraging Geneva World Investor, the managed services team can manage all aspects of investor servicing, including:

- P&L allocations
- Tax accounting



Easily define and customize the steps, workflows, and dependencies of your month-end processes.

- Fee calculations
- Investor reporting

As part of the onboarding process, our team will work with you to determine which workflows to manage internally and which to outsource or co-source with us. And, you can change the mix of services annually as your firm grows and your business needs change.

Robust Task Management Insights

With Geneva's flexible task management functionality, you can view:

Task status: Up to the minute status of the work our team performs on your behalf.

Flexible workflows: Easily define and customize the steps, workflows, and dependencies of your daily, weekly, or month-end process.

Full transparency: Multi-level approvals, ensuring full oversight of all processes.

Extensive Tools Available to Deliver Value and Transparency

Client Communication Portal

Transparency and consistent communication are key to a successful

managed services relationship. Which is why our communication portal provides you with a single access point for your data and reporting needs, offering self-service tools for more visibility and analytics on a daily basis. With its simple and easy to use application, you can minimize the back and forth communication traffic of emails and telephone calls and have immediate and detailed analytics to make timely and informed decisions.

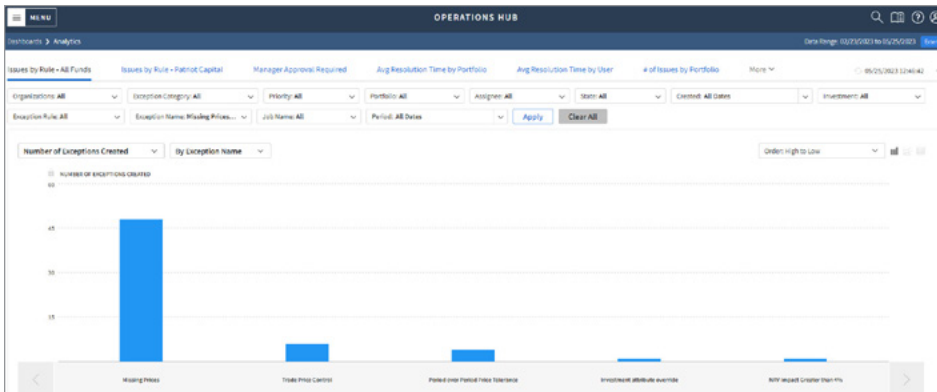
Other benefits of the portal include:

- Real-time insight and views with enhanced visibility into the service offering
- Efficient sharing and scheduling of data with push reporting
- Two-way data integration with Geneva, SS&C Recon, Operations Hub, Outlook, and Eze OMS
- Automated notifications for both our clients and managed services team

Leveraging the Portal gives you peace of mind and streamlined communication with your managed services team.

Comprehensive Reconciliation Capabilities

The centerpiece of our daily processing activity comprises reconciliation, data governance, and task management. Our



Management dashboards provide a central location to view the status of any task, workflow, or exception.

managed services team can easily personalize the different reconciliations and workflows specific to your business needs. With an ironclad audit trail and full transparency through your personalized dashboard, you can track the status of all processing in your daily operations with confidence.

With intuitive, user-friendly dashboards, you can view:

Break assignment: Automated break assignments and explanation functionality to track and manage breaks, while providing detailed insight into break ownership.

Cash reconciliation proofs: Root cause analysis to quickly identify issues causing cash breaks, and work with appropriate parties to expedite resolution and ensure that cash matches.

Cash roll proofs—day over day

validation: Identify any discrepancies with day-over-day data loads from the Street. The team will verify the completeness and accuracy of data received over any two selected dates.

Holdings reconciliation proofs—root

cause analysis: Easily identify position breaks, as well as the root cause and corresponding transactions to be resolved to fix those breaks.

Efficient Oversight and Data Accuracy

With Geneva's built-in data governance module, you can:

- Select from a range of standard validation rules tailored to your needs
- Automate and customize checks on your portfolios, such as NAV impact, price variance, or missing or incorrect reference data
- Run systematic data checks daily, weekly, or monthly to validate data

At any time, you can check the team's progress, review, approve, and make comments, or share and view attachments. With our comprehensive dashboard views, you have oversight into the entire process in a single screen with detailed drill-through capabilities and a full audit trail of all processes from initiation to final approval.

Backed by the People Who Built It

Unlike other providers, SS&C Advent owns, manages, and runs our own software. The Geneva platform with managed services provides you with a single service and support team that is thoroughly conversant in our technology, with access to

Geneva developers. Our dedicated staff members are certified in Geneva, its ecosystem of solutions, and have years of experience in operations and accounting in the investment management industry.

We recently issued a SOC-1, Type II report, an important milestone to provide our clients peace of mind as we work with strategically important and sensitive data.

Working for You Around the Clock

With our global network working overnight, you can start each trading day knowing exactly where you stand, with accurate reliable reconciled data. Enjoy the full functionality of Geneva combined with the expert managed services and capabilities of our team. Contact us to learn more about Advent Managed Services.

About SS&C Advent

SS&C Advent helps over 4,300 investment firms in more than 50 countries—from established global institutions to small start-up practices—to grow their businesses, minimize risk, and thrive. We have been delivering unparalleled precision and ahead-of-the-curve solutions for more than 30 years, working together with our clients to help shape the future of investment management.

Find out how you can take advantage of our industry-leading solutions to support your business goals. To learn more about the right solutions and services for you, contact advent@sscinc.com.

For more information contact your SS&C Advent representative or email sales@advent.com.